

NVB 3001 (Effective 1/21)

Redacted version

## UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA

PROOF OF  
INTEREST

Name of Debtor: <i>Meta Material Inc</i>		Case Number: <i>Case 24-50792-HB</i>	<b>RECEIVED AND FILED</b>  <b>DEC 18 2024</b> <b>U.S. BANKRUPTCY COURT</b> <b>MARYA SCHOTT, CLERK</b>
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):  <i>Jason frost</i>		<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.  <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.  <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
Telephone Number:  <i>800-435-4000</i>			
<p><b>NOTE:</b> This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.</p>			
Account or other number by which Interest holder identifies Debtor:  <i>XXXX-0802</i>		Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:  <i>Charles Schwab &amp; Co Inc Omaha Operations Center P.O. Box 23310 Omaha NE 68103 Telephone Number: 1-800-435-4000</i>		3. Date Equity Interest was acquired:  <i>4-01-2021 - 10-10-22 Trch See Summary</i>	
4. Total amount of member interest: <i>200000 pre spl.4</i>		5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: <i>Investor</i>			
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.			
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.			
9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.)   (See Bankruptcy Rule 3004.)   (See Bankruptcy Rule 3005.)			
I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: <i>Jason frost</i> Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____ _____ _____			
<i>Jason frost</i> (Signature)		_____ (Date)	
Telephone number: _____		email: _____	

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

[Print Form](#)[Save Form](#)[Clear Form](#)

redacted

Summary

Case # 24-50792

Dec 16, 2024

Jason Frost

[REDACTED]

I held 15,000 shares of TRCH through the merger with Meta Materials; after the reverse split I was left with 7,500 shares. In the following weeks I added 1,500 shares to that position 1,500. I subsequently sold those 9000 shares on 7/12/2021 in the turbulence price fluctuation, and then repurchased back into MMAT 20,000 shares from 7/14/2021-9/14/2022. I purchased 800 shares on 10/10/2022 and sold those 800 shares on 10/10/2022. I had 20,000 shares that I held through the 100-1 reverse split and currently have 200 shares held at Charles Schwab. With a loss of \$72,110.96

Charles Schwab [REDACTED] 0802

original purchased with TD Ameritrade

[REDACTED]

Jason Frost

Dec 16, 2024

[REDACTED] 214-7

PO BOX 2577 - OMAHA NE 68103-257

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**TD AMERITRADE, INC.  
PO BOX 2209  
OMAHA NE 68103-2209**

JASON FROST



## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		45660953689	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU SOLD	800	59134N104	.7441	595.28		0.11
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	10/10/2022	10/12/2022		0.00	595.17	
TRADE DESCRIPTION	SYMBOL					
META MATERIALS INC COM	MMAT					
CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE. THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.						
*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.						



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## Confirmation Notice



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## Confirmation Notice

Account 275-979214

Page 2 of 2

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
9214-1		42146846926	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC/FEES	REG FEES
YOU BOUGHT	1,000	59134N104	1.38	1,380.00	0	0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEES	NET AMOUNT	
	04/20/2022	04/22/2022		0.00	1,380.00	
TRADE DESCRIPTION	SYMBOL					
META MATERIALS INC COM	MMAT					

## THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION  
PLEASE REFER TO THE TERMS AND CONDITIONS.

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ACCOUNT CODE: Type of Account	CAPACITY CODE:
1. Cash	A= Agent
2. Margin Long	C= Cross Trade
3. Margin Short	P= Principal
4. Income	R= Riskless Principal
5. Withholding	Prime Broker if provided (contact the executing broker for further details)
6. Special	3= Agent for person other than customer
	4= Agent for both customer and another person
	5= Acts as both principal and agent
	6= Acts as principal, agent and agent for another person
	9= Crossing as principal
	5= Principal for some executions and agent for other
	8= Crossing as agent

## IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.
2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.
5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.
6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.
7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.
8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
9. Commission fees represent base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.
10. Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.
11. If this transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by issuer to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation adjustments.
12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.
13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.
14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.
15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.
16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP. Federal Farm Credit Bank (FFCB): www.farmcredit-ffcb.com, Federal Home Loan Bank (FHLB): www.fhlb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.freddiemac.com, Federal National Mortgage Association (Fannie Mae): www.fanniemae.com, Government National Mortgage Association: www.gentlemen.gov, Municipal Bonds: www.emma.msrb.org. A copy of the Official Statement can be requested by calling 800-669-3900.
17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issuer that can be combined to reach the minimum denomination.



Case 24-50792  
**Ameritrade**

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OMAHA NE 68103-2209**

JASON FROST



## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
9214-1		36315399795	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG. FEES
YOU BOUGHT	5,000	59134N104	3.6199	18,099.50		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	07/22/2021	07/26/2021		0.00	18,099.50	

META MATERIALS INC  
COM

## SYMBOL

THIS IS AN UNREGULATED TRADE

THIS IS AN UNSOLICITED TRADE  
FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

FOR FURTHER INFORMATION REGARDING YOUR  
PLEASE REFER TO THE TERMS AND CONDITIONS

\*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		36317395050	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	1,000	59134N104	3.6099	3,609.90		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	07/22/2021	07/26/2021		0.00		3,609.90

**TRADE DESCRIPTION**

## SYMBOL

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE-IN  
OR PURCHASE, CALL 1-800-4-A-FORD.

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## Confirmation Notice

Account 275-979214

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ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		36322262845	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC FEES	REG FEES
YOU BOUGHT	1,000	59134N104	3.49	3,490.00		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEES	NET AMOUNT	
	07/22/2021	07/26/2021		0.00	3,490.00	
TRADE DESCRIPTION				SYMBOL		
META MATERIALS INC COM				MMAT		

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ACCOUNT CODE: Type of Account	CAPACITY CODE:					
1. Cash	2. Margin Long	A= Agent	C= Cross Trade	P= Principal	R= Riskless Principal	
3. Margin Short	4. Income	Prime Broker if provided (contact the executing broker for further details)				
5. Withholding	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other	6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person
		8= Crossing as principal				8= Crossing as agent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

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- This confirmation is a transaction notice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
- You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
- All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.
- The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.
- The name of the other party or broker in the transaction, the date, the time of execution, source, and amount of remuneration will be furnished upon request, if not already displayed.
- If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.
- If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
- Commission/fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), CommissionFee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.
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- For inflation indexed securities yield to maturity may vary due to inflation adjustments. If the transaction involves callable securities, the call features could affect yield. Complete information will be provided upon request. On zero coupon, interest and principal securities, no periodic payments of interest or principal are generally made. These securities may be callable, below maturity value, without notice by issuer to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment. Specific information is available upon request.
- The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.
- Leveraged and Inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.
- Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over-the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.
- If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.
- To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): [www.farmcredit-ffcb.com](http://www.farmcredit-ffcb.com), Federal Home Loan Bank (FHLB): [www.fhlb-of.com](http://www.fhlb-of.com), Federal Home Loan Mortgage Corporation (Freddie Mac): [www.freddiemac.com](http://www.freddiemac.com), Federal National Mortgage Association (Fannie Mae): [www.fanniemae.com](http://www.fanniemae.com), Government National Mortgage Association: [www.ginniemae.gov](http://www.ginniemae.gov), Municipal Bonds: [www.munisrb.org](http://www.munisrb.org). A copy of the Official Statement can be requested by calling 800-669-3900.
- The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issuer that can be combined to reach the minimum denomination.

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JASON [REDACTED] FROST

**Confirmation Notice**

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		36293858158	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	1,000	59134N104	4.03	4,030.00		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	07/21/2021	07/23/2021		0.00	4,030.00	
TRADE DESCRIPTION	SYMBOL					
META MATERIALS INC COM	MMAT					

THIS IS AN UNSOLICITED TRADE  
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or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		36295542674	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	1,000	59134N104	3.9593	3,959.30		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	07/21/2021	07/23/2021		0.00	3,959.30	
TRADE DESCRIPTION	SYMBOL					
META MATERIALS INC COM	MMAT					

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Ameritrade

## Confirmation Notice

Account 275-979214

Page 2 of 2

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		36297168957	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	1,000	59134N104	3.73	3,730.00		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	07/21/2021	07/23/2021		0.00	3,730.00	
TRADE DESCRIPTION	SYMBOL					
META MATERIALS INC COM	MMAT					
<b>THIS IS AN UNSOLICITED TRADE</b>						
<b>FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION</b>						
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ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		36298211843	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	1,000	59134N104	3.809	3,809.00		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	07/21/2021	07/23/2021		0.00	3,809.00	
TRADE DESCRIPTION	SYMBOL					
META MATERIALS INC COM	MMAT					
<b>THIS IS AN UNSOLICITED TRADE</b>						
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ACCOUNT CODE: Type of Account	CAPACITY CODE:
1. Cash	2. Margin Long
3. Margin Short	4. Income
5. Withholding	6. Special
A= Agent	C= Cross Trade
Prime Broker if provided (contact the executing broker for further details)	P= Principal
3= Agent for person other than customer	R= Riskless Principal
6= Acts as both principal and agent	4= Agent for both customer and another person
9= Crossing as principal	7= Acts as principal, agent and agent for another person
	5= Principal for some executions and agent for other
	6= Crossing as agent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.
- This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
- You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
- All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.
- The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.
- The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.
- If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.
- If payments not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
- Commission/fee represents base commission and any option, contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent item on your monthly statement.
- Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on [www.cboe.com](http://www.cboe.com). Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.
- For inflation indexed securities yield to maturity may vary due to inflation adjustments. If the transaction involves callable securities, the call features could affect yield. Complete information will be provided upon request. On zero coupons, interest and principal securities, no periodic payments of interest or principal are generally made. These securities may be callable, below maturity value, without notice by issuer to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment. Specific information is available upon request.
- The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.
- Leveraged and inverse ETFs entail unique risks, including but not limited to, use of leverage, aggressive and complex investment techniques, and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.
- Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.
- If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deemed the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.
- To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): [www.farmcredit-fccb.com](http://www.farmcredit-fccb.com), Federal Home Loan Bank (FHLB): [www.fhlb.com](http://www.fhlb.com), Federal Home Loan Mortgage Corporation (Freddie Mac): [www.fremditemac.com](http://www.fremditemac.com), Federal National Mortgage Association (Fannie Mae): [www.fanniemae.com](http://www.fanniemae.com), Government National Mortgage Association: [www.ginniemae.gov](http://www.ginniemae.gov), Municipal Bonds: [www.munis.org](http://www.munis.org). A copy of the Official Statement can be requested by calling 800-669-3900.
- The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issuer that can be combined to reach the minimum denomination.

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**TD AMERITRADE, INC.  
PO BOX 2209  
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JASON ██████████ FROST



## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE		
36184895075			A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	1,000	59134N104	4.0499	4,049.90		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEES	NET AMOUNT	
	07/15/2021	07/19/2021		0.00	4,049.90	
TRADE DESCRIPTION	SYMBOL	EXPIRATION DATE	STRIKE PRICE	OPTION TYPE	OPTION EXPIRATION DATE	OPTION CONTRACT
META MATERIALS INC COM	MMAT					

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
214-1		36185208590	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC FEES	REG FEES
YOU BOUGHT	1,000	59134N104	3.9899	3,989.90		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEES	NET AMOUNT	
	07/15/2021	07/19/2021		0.00	3,989.90	
TRADE DESCRIPTION			SYMBOL			
META MATERIALS INC COM			MMAT			
<p>THIS IS AN UNSOLICITED TRADE          FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION          PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.</p>						





Case 24-30752  
**Ameritrade**

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JASON FROST



## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE	
214-1		36106852844	A	TD AMERITRADE	
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	
YOU SOLD	1,900	59134N104	4.12	7,828.00	
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT
	07/12/2021	07/14/2021		0.00	7,827.73

META MATERIALS INC MMAT  
COM

**CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.**

**THIS IS AN UNSOLICITED TRADE  
FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION**

**PLEASE REFER TO THE TERMS AND CONDITIONS.**  
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ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE	
214-1		36106852854	A	TD AMERITRADE	
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	
YOU SOLD	7,100	59134N104	4.11	29,181.00	
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION FEE	NET AMOUNT
	07/12/2021	07/14/2021		0.00	29,180.01
TRADE DESCRIPTION	SYMBOL	QUANTITY	PRICE	AMOUNT	NET AMOUNT

META MATERIALS INC MMAT  
COM

**CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.**

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## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE				
[REDACTED] 214-1		36076157479	A	TD AMERITRADE				
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES		
YOU BOUGHT	300	59134N104	5.5299	1,658.97		0.00		
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEES	NET AMOUNT			
	07/09/2021	07/13/2021		0.00	1,658.97			
TRADE DESCRIPTION	SYMBOL							
META MATERIALS INC COM	MMAT							
THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.								
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ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE				
[REDACTED] 214-1		36076157510	A	TD AMERITRADE				
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES		
YOU BOUGHT	200	59134N104	5.529	1,105.80		0.00		
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEES	NET AMOUNT			
	07/09/2021	07/13/2021		0.00	1,105.80			
TRADE DESCRIPTION	SYMBOL							
META MATERIALS INC COM	MMAT							
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ACCOUNT/NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE		
214-1		35853740307	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REC FEES
YOU BOUGHT	500	59134N104	7.63	3,815.00	0	0.00
AS OF/TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	06/30/2021	07/02/2021		0.00	3,815.00	
TRADE DESCRIPTION				SYMBOL		
META MATERIALS INC COM				MMAT		
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JASON [REDACTED] FROST



## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE		
214-1		35761332315	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	500	59134N104	9.80	4,900.00		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEES	NET AMOUNT	
	06/28/2021	06/30/2021		0.00	4,900.00	
TRADE DESCRIPTION			SYMBOL			
META MATERIALS INC COM			MMAT			
<p>THIS IS AN UNSOLICITED TRADE          FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION          PLEASE REFER TO THE TERMS AND CONDITIONS.</p> <p>*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security          that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole          or in part before maturity which could affect its yield. Additional information is available upon request.</p>						



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## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE		
214-1		34225094358	A	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG.FEES
YOU BOUGHT	5,000	89102U103	1.3199	6,599.50		0.00
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT	
	04/16/2021	04/20/2021		0.00	6,599.50	
TRADE DESCRIPTION			SYMBOL			
TORCHLIGHT ENERGY RESOURCES IN COM			TRCH			

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ACCOUNT CODE: Type of Account	CAPACITY CODE:			
1. Cash	A= Agent	C= Cross Trade	P= Principal	R= Riskless Principal
2. Margin Long	Prime Broker (if provided contact the executing broker for further details)			
3. Margin Short	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other	
5. Withholding	6= Ads as both principal and agent	7= Ads as principal, agent and agent for another person	8= Crossing as agent	
	9= Crossing as principal			

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- To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP. Federal Farm Credit Bank (FFCB): [www.farmcredit-trcb.com](http://www.farmcredit-trcb.com), Federal Home Loan Bank (FHLB): [www.fhlb-of.com](http://www.fhlb-of.com), Federal Home Loan Mortgage Corporation (Freddie Mac): [www.fredlien.com](http://www.fredlien.com), Federal National Mortgage Association (Fannie Mae): [www.fanniemae.com](http://www.fanniemae.com), Government National Mortgage Association: [www.ginniemae.gov](http://www.ginniemae.gov), Municipal Bonds: [www.mmsrb.org](http://www.mmsrb.org). A copy of the Official Statement can be requested by calling 800-669-3900.
- The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issuer that can be combined to reach the minimum denomination.



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## Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE				
214-1		33924689054	A	TD AMERITRADE				
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES		
YOU BOUGHT	5,000	89102U103	1.8692	9,346.00		0.00		
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT			
	04/01/2021	04/06/2021		0.00	9,346.00			
TRADE DESCRIPTION			SYMBOL					
TORCHLIGHT ENERGY RESOURCES IN COM			TRCH					
THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.								

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE				
214-1		33924745775	A	TD AMERITRADE				
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	MISC. FEES	REG FEES		
YOU BOUGHT	5,000	89102U103	1.8692	9,346.00		0.00		
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION/FEE	NET AMOUNT			
	04/01/2021	04/06/2021		0.00	9,346.00			
TRADE DESCRIPTION			SYMBOL					
TORCHLIGHT ENERGY RESOURCES IN COM			TRCH					
THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.								